

**INPUT REPORT**  
*ARKANSAS STATE BANK DEPARTMENT*  
**SELF-EXAMINATION FORM**

**TITLE OF BANK:** \_\_\_\_\_ **CHARTER #:** \_\_\_\_\_  
**CITY:** \_\_\_\_\_ **MONTH:** \_\_\_\_\_ **PHONE #:** \_\_\_\_\_

**(Omit 000s; Round to the Nearest Thousand Dollars)**

**BALANCE SHEET**

- |            |   |                     |
|------------|---|---------------------|
| <b>1.</b>  | <b>Total Loans and Lease Financing Receivables</b><br>The sum of RC items 4.a., Loans and Leases Held for Sale, and 4.b., Loans and Leases, Net of Unearned Income.   | <b>1. \$</b> _____  |
| <b>2.</b>  | <b>Reserve for Loan Losses</b><br>RC item 4.c.  | <b>2. \$</b> _____  |
| <b>3.</b>  | <b>Total Earning Assets</b><br>The sum of RC items 1.b., Interest-bearing Balances; 2.a. and 2.b., Securities; 3.a., Federal Funds Sold; 3.b., Securities Purchased Under Agreements to Resell; 4.a. and 4.b., Total Loans and Lease Financing Receivables; 5., Trading Assets; RC-F, item 4., Equity Securities That Do Not Have Readily Determinable Fair Values; less the sum of RC-N items 1.a.through 9, Column C, Nonaccrual Loans, Lease Financing Receivables, Debt Securities and Other Assets.Sum of self-examination items 1., 4., 5., 43., 44., 46., and 47.k., less 37., and 39. | <b>3. \$</b> _____  |
| <b>4.</b>  | <b>Equity Securities That Do Not Have Readily Determinable Fair Values</b><br>RC-F item 4. Include Federal Reserve Bank stock, Federal Home Loan Bank stock and bankers' bank stock.  | <b>4. \$</b> _____  |
| <b>5.</b>  | <b>Assets Held in Trading Accounts</b><br>RC item 5.  | <b>5. \$</b> _____  |
| <b>6.</b>  | <b>Accrued Interest Receivable</b><br>RC-F item 1. Includes accrued interest receivable on loans, leases, debt securities, and other interest-bearing assets.   | <b>6. \$</b> _____  |
| <b>7.</b>  | <b>OREO</b><br>RC item 7. Other Real Estate Owned.  | <b>7. \$</b> _____  |
| <b>8.</b>  | <b>Intangible Assets</b><br>The sum of RC items 10.a., Goodwill, and 10.b., Other Intangible Assets.  | <b>8. \$</b> _____  |
| <b>9.</b>  | <b>Disallowed Goodwill and Other Disallowed Intangibles</b><br>RC-R item 7.a.   | <b>8. \$</b> _____  |
| <b>10.</b> | <b>Disallowed Servicing Assets and Purchased Credit Card Relationships</b><br>RC-R item 9.a.  | <b>10. \$</b> _____ |
| <b>11.</b> | <b>Disallowed Deferred Tax Assets</b><br>RC-R item 9.b.   | <b>11. \$</b> _____ |
| <b>12.</b> | <b>Total Assets</b><br>Total assets, net of reserve for loan losses. RC item 12.  | <b>12. \$</b> _____ |
| <b>13.</b> | <b>Total Deposits</b><br>RC item 13.a. for banks filing FFIEC 041. The sum of RC items 13.a. and 13.b for banks filing FFIEC 031.   | <b>13. \$</b> _____ |
| <b>14.</b> | <b>Interest-Bearing Deposits</b><br>RC item 13.a.(2) for banks filing FFIEC 041. The sum of RC items 13.a.(2) and 13.b.(2) for banks filing FFIEC 031.  | <b>14. \$</b> _____ |
| <b>15.</b> | <b>Total Time Deposits of \$100M or more</b><br>RC-E items M.2.c. plus M.2.d.   | <b>15. \$</b> _____ |
| <b>16.</b> | <b>Fully Insured Brokered Deposits Issued in Denominations of less than \$100M</b><br>RC-E item M. 1.c.(1).   | <b>16. \$</b> _____ |
| <b>17.</b> | <b>Other Interest-Bearing Liabilities</b><br>The sum of RC items 14.a., Federal Funds Purchased; 14.b., Securities Sold Under Agreements to Repurchase; 15., Trading Liabilities; and 16., Other Borrowed Money.  | <b>17. \$</b> _____ |
| <b>18.</b> | <b>Other Borrowed Money</b><br>RC item 16.  | <b>18. \$</b> _____ |
| <b>19.</b> | <b>Notes &amp; Debentures Subordinated to Deposits</b><br>RC item 19.   | <b>19. \$</b> _____ |
| <b>20.</b> | <b>Accumulated Other Comprehensive Income</b><br>RC item 26.b. REPORT LOSSES WITH ( ).  | <b>20. \$</b> _____ |
| <b>21.</b> | <b>Total Equity Capital</b><br>RC item 28. Perpetual Preferred Stock and Related Surplus, Common Stock, Surplus, Retained Earnings, Accumulated Other Comprehensive Income (includes Net Unrealized Holding Gains/Losses on Available-For-Sale Securities) and Other Equity Capital Components.   | <b>21. \$</b> _____ |

# INPUT REPORT

Income Statement

## (REPORT ON AN ACCRUAL BASIS YEAR-TO-DATE INCOME AND EXPENSES)

22.	<b>Interest and Fee Income on Loans and Lease Financing Receivables</b> The sum of RI items 1.a.(6) and 1.b. for banks filing FFIEC 041. The sum of RI items 1.a.(3) and 1.b for banks filing FFIEC 031.	22. \$ _____
23.	<b>Interest and Dividend Income on Securities</b> Sum of RI items 1.d.(1), 1.d.(2) and 1.d.(3).	23. \$ _____
24.	<b>Total Interest Income</b> RI item 1.h.	24. \$ _____
25.	<b>Interest Expense not Deductible for Federal Income Tax Purposes</b> RI Memorandum item M.1.	25. \$ _____
26.	<b>Tax-Exempt Interest Earned on Securities, Loans and Leases</b> The sum of RI Memoranda items M.3. and M.4.	26. \$ _____
27.	<b>Interest Expense on Deposits</b> The Sum of RI items 2.a.(1) and 2.a.(2)a., b., and c.	27. \$ _____
28.	<b>Interest Expense on Other Borrowed Funds</b> The Sum of RI items 2.b., 2.c., and 2.d.	28. \$ _____
29.	<b>Total Interest Expense</b> RI item 2.e.	29. \$ _____
30.	<b>Provision for Loan and Lease Losses</b> RI item 4 (year-to-date total).	30. \$ _____
31.	<b>Non-Interest Income</b> RI item 5.m.	31. \$ _____
32.	<b>Non-Interest Expense</b> RI item 7.e. Exclude Provision for Loan Losses, Realized Gains (Losses) on Securities and Applicable Income Taxes.	32. \$ _____
33.	<b>Extraordinary Items and Other Adjustments, Net of Income Taxes</b> RI item 11.	33. \$ _____
34.	<b>Net Income</b> Net income after extraordinary items and taxes. RI item 12.	34. \$ _____

## OTHER DATA

35a.	<b>Overdue Loans (30 days or more)</b> All loans past due 30 days or more. Include loans 90 days or more past due. Sum of RC-N items 1.a. through 8. (8.b. for banks filing FFIEC 031), columns A, B and C. Deduct balance of loans on nonaccrual that are less than 30 days overdue.	35.a. \$ _____
35b.	<b>Number of Overdue Loans (30 days or more)</b> Number of loans 30 days or more past due.	35.b. \$ _____
36.	<b>Loans and Leases 90 Days or More Overdue and Still Accruing</b> Sum of RC-N items 1.a. through 8. (8.b. for banks filing FFIEC 031), Column B.	36. \$ _____
37.	<b>Nonaccrual Loans and Leases</b> Sum of RC-N items 1.a. through 8. (8.b. for banks filing FFIEC 031), Column C.	37. \$ _____
38.	<b>Debt Securities and Other Assets 90 Days or More Overdue and Still Accruing</b> RC-N item 9., Column B.	38. \$ _____
39.	<b>Nonaccrual Debt Securities and Other Assets</b> RC-N item 9., Column C.	39. \$ _____
40.	<b>Federal Income Tax Rate (Estimated)</b>	40. \$ _____
41.	<b>Full-time Equivalent Employees</b> RI Memoranda item M.5.	41. \$ _____
42.	<b>Life Insurance Assets</b> RC-F item 5.	42. \$ _____
43.	<b>Held-to-Maturity Securities</b> RC item 2.a. Exclude Commercial Paper, BAs and CDs Purchased.	43. \$ _____
44.	<b>Available-For-Sale Securities</b> RC item 2.b. Exclude Commercial Paper, BAs and CDs Purchased.	44. \$ _____
45.	<b>Debt Securities Maturing in One Year or Less</b> RC-B Item M.2.d.	45. \$ _____
46.	<b>Interest-Bearing Balances</b> RC item 1.b.	46. \$ _____

# INPUT REPORT

## ASSETS REPRICEABLE WITHIN 1 YEAR

- |     |    |  |        |          |
|-----|----|--|--------|----------|
| 47. | a. | Fixed Rate Loans   | 47. a. | \$ _____ |
|     | b. | Variable Rate Loans  | b.     | \$ _____ |
|     | c. | U. S. Treasury Securities  | c.     | \$ _____ |
|     | d. | Fixed Rate Agency Securities                                       | d.     | \$ _____ |
|     | e. | Variable Rate Agency Securities                                    | e.     | \$ _____ |
|     | f. | MBS & CMO Securities and Projected Principal Paydowns              | f.     | \$ _____ |
|     | g. | Certificates of Deposit Due From Other Institutions                | g.     | \$ _____ |
|     | h. | Municipal Securities   | h.     | \$ _____ |
|     | i. | Fixed Rate Corporate Securities                                    | i.     | \$ _____ |
|     | j. | Variable Rate Corporate Securities                                 | j.     | \$ _____ |
|     | k. | Federal Funds Sold; Securities Purchased Under Agreement to Resell | k.     | \$ _____ |
|     | l. | FHLB Interest Bearing Deposits; Other Money Market Accounts        | l.     | \$ _____ |

## LIABILITIES REPRICEABLE WITHIN 1 YEAR

- |     |    |   |     |          |
|-----|----|---|-----|----------|
| 48. | a. | Other Savings   | 48. | \$ _____ |
|     | b. | NOW and Super NOW Accounts                                  | b.  | \$ _____ |
|     | c. | Money Market Deposit Accounts                               | c.  | \$ _____ |
|     | d. | Certificates of Deposit Less than \$100M                    | d.  | \$ _____ |
|     | e. | Certificates of Deposit \$100M and Over (include time open) | e.  | \$ _____ |
|     | f. | Federal Funds Purchased                                     | f.  | \$ _____ |
|     | g. | Securities Sold Under Agreement to Repurchase               | g.  | \$ _____ |
|     | h. | Federal Reserve Borrowings                                  | h.  | \$ _____ |
|     | i. | Other Borrowings, Demand Notes, Trading Liabilities         | i.  | \$ _____ |
|     | j. | Subordinated Debt and Limited-life Preferred Stock          | j.  | \$ _____ |

## OTHER PERFORMANCE INDICATORS

- |     |   |       |          |
|-----|---|-------|----------|
| 49. | Provision for Loan Losses - current month increase  | 49.   | \$ _____ |
| 50. | Loans Charged Off - current month   | 50.   | \$ _____ |
| 51. | Loan Recoveries – current month   | 51.   | \$ _____ |
| 52. | Profit (Loss) From Sale of Securities (exclude unrealized gains or losses) - current month                | 52.   | \$ _____ |
| 53. | Dividends Declared – current month  | 53.   | \$ _____ |
| 54. | Capital Injections – current month  | 54.   | \$ _____ |
| 55. | Capital Adjustments Related to Prior Periods  | 55.   | \$ _____ |
| 56. | <b>Agricultural Loans:</b>  | 56.   | \$ _____ |
|     | a. Total of Loans Secured by Farmland (including farm residential and other improvements). RC-C item 1.b. |       |          |
|     | b. Total of Loans to Finance Agricultural Production and Other Loans to Farmers. RC-C item 3.             | 56.b. | \$ _____ |

\_\_\_\_\_  
REPORT PREPARED BY:

\_\_\_\_\_  
TITLE:

\_\_\_\_\_  
REPORT REVIEWED BY:

\_\_\_\_\_  
TITLE: