

PROPOSED

RULES AND REGULATIONS REGULATING THE PRACTICE OF ARCHITECTURE

M A R C H 2 0 1 1

Proposed Rules and Regulations to be Acted Upon at the April 29, 2011 Public Hearing

ARKANSAS STATE BOARD

OF ARCHITECTS, LANDSCAPE ARCHITECTS, AND INTERIOR DESIGNERS

101 East Capitol Avenue, Suite 110 Little Rock, Arkansas 72201-3822 501/682-3171 www.arkansas.gov/arch



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***RULES AND REGULATIONS - EFFECTIVE JUNE 1, 2005 JULY 1, 2011 ***

I

ARKANSAS RULES AND REGULATIONS ARCHITECTURE

The Arkansas Architectural Act and its regulations are being provided as a reference to licensees, candidates, and members of the public in this up-to-date format. All licensees and candidates for licensure should have knowledge of the board's statutes and regulations and should be familiar with and understand their provisions. While every effort has been made to ensure the accuracy of this document, it does not have legal effect. Should any difference or error occur, the law will take precedence.

If there is a question regarding interpretation of these statuses and regulations, you may contact the Arkansas State Board of Architects, Landscape Architects, and Interior Designers at 101 East Capitol Avenue, Suite 110, Little Rock, AR, 72201-3822. The board can be reached by telephone at (501) 682-3171, by fax at (501) 682-3172, or by email at <u>arch@arkansas.gov</u>.

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1	CHAPTER ONE
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3	RULES AND REGULATIONS
4	
5	SECTION I
6	SCOPE AND DEFINITIONS
7	A. Purpose
8	These Rules and Regulations of the Arkansas State Board of Architects, Landscape
9	Architects, and Interior Designers are set forth for the purpose of interpreting and
10	implementing the Arkansas Architectural Act, Arkansas Code Annotated 17-15-101 et-
11 12	seq., and Ark. Code Ann. § 17-35-101 and 17-36-101 et seq., which establishing the
12	Board ₇ and <u>grant it full</u> conferring upon its r esponsibility for registration of architects, <u>landscape architects, and registered interior designers, as well as the</u> and regulation of
14	the practice of architects architecture, the practice of landscape architecture, and the use
15	of the title "registered interior designer.".
16	B. Citation
10	These rules and regulations shall be known, and may be cited, as Arkansas State Board
18	of Architects, Landscape Architects, and Interior Designers Rules and Regulations.
19	B.C. Severability
20	If any provisions of these regulations or the application thereof to any person or
21	circumstance is invalid, such invalidity shall not affect other provisions or application of
22	these regulations, which can be given effect without the invalid provision or application,
23	and t. To this end, the provisions of these regulations are declared to be severable.
24	C.D. Terms Defined by Statute
25	The terms defined in the Arkansas Architectural Act, Arkansas Code Annotated 17-15-
26	101 et . seq. _z shall have the same meanings when used in these regulations _z unless the
27	context or subject matter clearly requires a different interpretation.
28	D.<u>E.</u> Terms Defined Herein
29	As used in these regulations, the following terms shall have the following meanings,
30	unless the context or subject matter clearly requires a different interpretation.
31	
32	

33	Applicant
34	An applicant is any individual who has submitted an application for registration to the
35	Board.
36	
37	Appropriate Design Professional
38	The appropriate design professional is the individual who is most responsible for the
39	portion of the work that reflects that individual's discipline or area of expertise.
40	Examples of design professionals include architects, civil engineers, structural engineers,
41	mechanical engineers, and electrical engineers.
42	
43	Architect
44	"Architect" means a An architect is any person who is technically and legally qualified
45	to practice architecture.
46	
47	A .R.E . <u>RE®</u>
48	The <u>ARE® is the current Architect Registration Examination prepared by NCARB.</u>
49	
50	BEA
51	The BEA is the broadly experienced architect, as currently defined by NCARB.
52	
53	<u>CE</u>
54	Continuing education is learning enabling a registered architect regularly to increase or
55	update knowledge of and competence in technical and professional subjects related to
56	the practice of architecture to safeguard the public's health, safety, and welfare.
57	
58	СЕН
59	One continuing education hour (CEH) shall represent a minimum of fifty (50) minutes of
60	actual course time. Continuing education hour refers to one continuous hour (50 to 60
61	minutes of contact) spent in structured educational activities intended to increase or
62	update the architect's knowledge and competence in health, safety, and welfare subjects.
63	If the provider of the structured educational activities prescribes a customary time for
64	completion of such an activity, then such prescribed time shall, unless the Board finds
65	the prescribed time to be unreasonable, be accepted as the architect's time for continuing
66	education hour purposes irrespective of actual time spent on the activity.
67 (9	
68 (0	<u>Construction Administration</u>
69 70	Construction administration is the portion of the architect's services that takes place
70	during the construction of a building. Construction administration services must include
71	periodic observation of the construction site at critical times to determine whether the
72	building is being built in accordance with the construction documents. The appropriate

73	design professional must observe the portion of the work for which he or she is directly
74	responsible.
75	
76	EESA
77	The Education Evaluation Services for Architects, is a program administrated by
78	Educational Credential Evaluators, Inc., a private organization not affiliated with
79	NCARB or any of it'sits members.
80	
81	Emeritus Architect
82	A <u>n emeritus architect is a regi</u> strant who has retired from the active practice of
83	architecture <u>and</u> who is 65 years of age or older. At the discretion of the Board, <u>however</u> ,
84	a registrant of any age who has become incapacitated may be granted emeritus status.
85	An emeritus architect may use the title "architect," but may not practice architecture as
86	defined in Arkansas Code Annotated §17-15-102 (5)(A)(i) .
87	
88	Examination
89	<u>Examination refers to</u> F <u>t</u> he current Architect Registration Examination (A-R-E®-),-as
90	accepted by the Board prepared and administered through NCARB.
91	
92	Health, Safety, and Welfare Subjects
93	Health, safety, and welfare subjects are topics related to technical and professional
94	courses that the Board deems appropriate to safeguard the public and that are within the
95	following enumerated areas necessary for the proper evaluation, design, construction
96	and utilization of buildings and the built environment.
97	
98	1. Legal: law, codes, zoning, regulations, standards, life safety, accessibility, ethics,
99	insurance to protect the owner or public
100	2. Technical: surveying, structural, mechanical, electrical, communication, fire
101	protection, controls
102	3. Environmental: energy efficiency, sustainability, natural resources, natural
103	hazards, hazardous materials waterproofing, insulation
104	4. Occupant Comfort: air quality, lighting, acoustics, ergonomics
105	5. Materials and Methods: building systems, products, finishes, furnishings,
106	equipment
107	6. Preservation: historic, reuse, adaptation
108	7. Pre-Design: land use analysis, programming, site selection, site and soils
109	analysis
110	8. Design: urban planning, master planning, building design, site design, interiors,
111	safety and security measures
112	9. Construction Documents: drawings, specifications, delivery methods
113	10. Construction Administration: contracts, bidding, contract negations

114	IDP
115	Intern Development Program training requirements for certification are established,
116	from time to time, by NCARB.
117	
118	Intern Architect
119	An intern architect is any person who possesses a professional degree in architecture
120	from an NAAB-accredited school and is enrolled in the Intern Development Program
121	(IDP). Use of the title "intern architect" shall not be construed to allow practice of
122	architecture by unregistered individuals.
123	
124	NAAB
125	The <u>NAAB is the</u> National Architectural Accrediting Board.
126	-
127	NCARB
128	The NCARB is the National Council of Architectural Registration Boards.
129	
130	Original Documents
131	Original documents refer to the vVersion of drawings and/or sets of specifications from
132	which all lawful copies are made.
133	
134	Practice of Architecture
135	The practice of architecture is the provision of, or the offering to provide, the services
136	defined in the Arkansas Architectural Act in connection with the design, construction,
137	enlargement, or alteration of a building or group of buildings and/or the space within
138	and surrounding such buildings, which are designed for human occupancy or
139	habitation. These services include planning, providing preliminary studies, designs,
140	drawings, specifications, and other technical submissions, and administration services
141	during construction.
142	
143	Principal
144	A n <u>principal is any</u> individual who is (a) a registered architect and (b) in charge of an
145	organization's architectural practice, either alone or with in partnership with other
146	registered architects.
147	
148	Prototype Building
149	A prototype building is any commercial building or space within a commercial building
150	that is intended to be constructed in multiple locations, has been constructed in multiple
151	locations, or that conveys an owner's intended uniform business program, plan, or
152	image.
153	
154	

155	Prototypical Building Documents
156	Prototypical building documents are technical submissions for prototypical buildings
157	that are prepared by, or under the responsible control of, an architect who is registered
158	in any United States jurisdiction and who holds certification issued by NCARB. These
159	documents must identify the architect, together with the architect's registration number,
160	jurisdiction or registration, and NCARB certification number, and must be marked
161	"Prototypical Design Documents: Not for Construction." Prototypical building
162	documents do not comprise a final comprehensive set of design and construction
163	documents because a prototypical building requires adaptation for local building site
164	<u>conditions, which may require additional design.</u>
165	
166	Responsible Control
167	<u>Responsible control is the That amount of control over, and detailed knowledge of, the</u>
168	content of technical submissions _z during their preparation <u>, which , as that</u> is ordinarily
169	exercised by registered architects who are applying the required professional standard
170	of care. Reviewing ₇ or reviewing and c orrecting ₇ technical submissions after they have
171	been prepared by others does not constitute the exercise of responsible control because
172	the reviewer has_neither control over nor detailed professional knowledge of the control
173	content of such submissions throughout their preparation.
174	
175	Structured Educational Activates
176	Structured educational activities in which at least 75 percent of an activity's content and
177	instructional time must be devoted to acceptable health, safety, and welfare subjects
178	related to the practice of architecture, including courses of study, monographs or other
179	activities under the areas identified as health, safety, and welfare subjects and provided
180	by qualified individuals or organizations, whether delivered by direct contact or
181	distance learning methods.
182	
183	Technical Submissions
184	Technical submissions are the dDesigns, drawings, specifications, studies, and other
185	technical reports prepared in the course of practicing architecture. <u>All technical</u>
186	submissions shall be identified by the name and address of the architect or architect's
187	<u>firm.</u>
188	
188 189	VU
	VU Value unit, used to calculate the hours of training earned by IDP applicants.

SECTION II 191 **GENERAL PROVISIONS** 192 A. Board Operation 193 194 1. Board Hheadquarters— shall be located in Little Rock, at a location designated 195 by the Board. 2. Board Oofficers— shall be President, Vice President, and Secretary-Treasurer. 196 Members. Terms of office for officers shall last is 1-one (1) year, beginning 197 October-January 1 of each year. 198 199 3. Board Eemployees— shall be Executive Director, Board Administrator Administrative Assistant/Office Manager, Executive Secretary-Administrative 200 Analyst, and/or other employees, as deemed necessary by the Board. 201 4. The Ffiscal year—<u>shall be</u> July 1 through June 30. 202 203 204 not less than twice annually. Exact dates shall to be determined by the President. 6. Special <u>Mm</u>eetings – <u>may be called by the at call of President or by any two (2)</u> 205 Bboard members. 206 207 7. <u>All Board Place of meetings - shall take place in the SS</u>tate of Arkansas, <u>aswhere</u> directed by the President or by the two (2) bBoard members who called a special 208 209 meeting. 8. Notice of any meetings— shall be sent to each Board Member by the Executive 210 Director or Administrative Assistant/Office Manager Board Administrator at 211 direction of President or members calling meeting at least 10- least five (5) days 212 prior to the meeting, unless such notice is waived by all members. 213 214 9. All of the following Records and Reports—shall be the responsibility of the 215 Secretary-Treasurer. The Secretary--Treasurer is responsible but may designate delegate actual preparation to staff. 216 a. Minutes and official reports. 217 b. Registrationer of applicants, examinations, certifications, and renewals. 218 219 c. Correspondence. 220 d. Receipts and disbursements. 10. All Ffunds— collected shall be and deposited to into the account of the Board by 221 222 the Secretary-Treasurer, except as he or she may delegate these duties to staff. 223 11. Fiduciary Bonds— covering the Secretary-Treasurer, Executive Director, and 224 staff shall be secured as required by the Board or by the SS tate of Arkansas. 225 12. All Board funds shall be deposited into a Depository-Cchecking and or savings accounts in a financial institution chartered in the State of Arkansas. 226 227 13. Disbursements— shall be made only with the by signatures of any two of the following, in accordance with the adopted Financiical Oversight Procedures: 228

President, Executive Director, Board Administrator Administrative 229 Assistant/Office Manager, or Secretary-Treasurer-and Executive secretary. 230 **B.** Board Seal 231 232 The Seal of the Board— was designated in 1939 2009. This seal shall be applied to all 233 registrations issued by the Board. C. Public Information 234 235 At its office, **T**the Board shall, at its offices, maintain a roster of duly registered architects 236 and business entities subject to SECTION VIII, A., open to public inspection, which shall 237 showing each registered architect's or entity's business name, registration or certificate 238 or authorization number, and last known mailing address. This roster shall be open to 239 public inspection. A roster of all licensed architects shall, furthermore be published on the Board's Wweeb site. 240 D. Communication; Prohibition or Improper Contacts 241 1. Prior to the filing of an application, and after the final Board action on an 242 application, verbal and written communication with individual Board members 243 244 or any individual members of the Board's staff shall be freely permitted 245 provided, however, that in no event is any no member of the Board or its staff is authorized to give any indication of what specific actions the Board may take 246 247 upon the merits of any application which that may be filed with it. Board 248 members and staff may, however, give g-General advice, however, may be given as to about the manner of completing or submitting applications, the procedures 249 to be followed in-when processing applications, and the nature of the standards 250 251 applied by the Board in evaluating applications. Whenile an application for 252 registration or an enforcement proceeding is pending before the Board, no one 253 shall initiate any written or oral communication with individual Board members 254 concerning the matter; but however, inquiries may be made, either orally or in 255 writing, to the Executive Director or the Board staff, or or in writing, to the Board 256 office. 257 2. Once a complaint has been files or any enforcement action has been initiated 258 against any individual or corporation, no person shall contact any Board member to discuss the circumstances of the case. No one shall initiate any written or oral 259 communication with individual Board member concerning the matter; however, 260 inquiries may be made, orally or in writing, to the Executive Director or Board 261 Staff, or in writing, to the Board office. 262 **E. NCARB** 263 264 1. The Board shall maintain membership in NCARB and shall pay the necessary 265 costs thereof.

- 266
 2. The Board shall keep-maintain up-to-date information on the recommended 267 polices adopted from time to time by NCARB.
 268
 3. The Board shall cooperate with NCARB in establishing uniform standards of
 - The Board shall cooperate with NCARB in establishing uniform standards of architectural registration throughout the United States and abroad.

270 F. Availability of Forms

Any forms prepared in accordance with these regulations shall be available upon request, made in person or in by writing, to the Board. If the Board amends any such forms, the Board staff shall mail a copy of each such amended form to all individuals whose applications are pending and are affected by such amendment. All forms shall be made available on the Board's Web site.

SECTION III

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APPLICATION FOR REGISTRATION

A. Submission of <u>Applicants-Registration</u>
 Every individual seeking a registration shall submit an application to the Board,
 accompanied by a photograph and the filing fee established in <u>Section III C Arkansas</u>
 <u>Code Annotated § 17-15-311</u>.

282 B. Supplemental Material

Material submitted to supplement any previously filed application must include copies of the originally submitted application and $\frac{\partial f}{\partial t}$ all materials filed with that application.

C. Filing Application Fees

Every applicant shall include with his<u>or</u> + her application a nonrefundable filing fee_z determined in accordance with th<u>is</u>e schedule-set forth as follows:

288	<u>1</u> Application for <u>E</u> examination <u>(A-R-E-®)</u> and registration	_\$ <u>2</u> 350.00
289	1.2. Application for Registration by Examination	\$250.00
290	2.3. Application for Individual Rreciprocal Rregistration	\$ <u>2</u> 350.00
291	<u>3.4.</u> Application for registration of Certificate of Authorization <u>Registered and the Registered Authorization Registered and the Registered Authorization Registered and the Registered Authorization Registered Authoriza</u>	ration
292	<u>\$250</u> 400.00	
293	4. Renewal of individual certificate (in state)	\$100.00
294	5. Renewal of individual certificate (out of state)	\$150.00
295	6. Renewal of Certificate of Authorization	\$350.00
296	7.5. Application for Emeritus License Status Registration	\$2 <mark>05</mark> .00
297	D. Late payment fee per month, not to exceed \$250.00 in a year	\$ 50.00
298		

299	D. Annual Renewal Fees
300	Every applicant for registration renewal shall include with his or her application a
301	nonrefundable renewal fee, determined in accordance with the schedule set forth as
302	<u>follows:</u>
303 304	1. Annual Renewal of Individual Registration \$100.00
305	2. Annual Renewal of Certificate of Authorization Registration \$250.00
306	3. Annual Renewal of Emeritus Status Registration \$ 20.00
307	E. Late Fees
308	Registrations for individuals and corporations which have expired or have been revoked
309	due to non-payment of the annual renewal fee may be reinstated through the payment
310	of the renewal fee, in effect at the time, plus a penalty of fifty dollars (\$50.00) per month
311	for the first three (3) months in which the registration has been expired or revoked.
312	Thereafter, an additional penalty of one hundred dollars (\$100.00) for the balance of one
313	(1) year, for a maximum penalty of two hundred fifty dollars (\$250.00) per a year, for a
314	maximum of three (3) years, shall be levied in accordance with the schedule set forth
315	below:
316	1. Individual Registration/Certificate of Authorization (1 Month) Late \$ 50.00
317	2. Individual Registration/Certificate of Authorization (2 Months) Late \$100.00
318	3. Individual Registration/Certificate of Authorization (3 Months) Late \$150.00
319	4. Individual Registration/Certificate of Authorization (4–12 Months) Late \$250.00
320	5. Individual Registration/Certificate of Authorization (13 Months) Late \$300.00
321	6. Individual Registration/Certificate of Authorization (14 Months) Late \$350.00
322	7. Individual Registration/Certificate of Authorization (15 Months) Late \$400.00
323	8. Individual Registration/Certificate of Authorization (16–24 Months) Late \$500.00
324	9. Individual Registration/Certificate of Authorization (25 Months) Late \$550.00
325	10. Individual Registration/Certificate of Authorization (26 Months) Late \$600.00
326	11. Individual Registration/Certificate of Authorization (27 Months) Late \$650.00
327	12. Individual Registration/Certificate of Authorization (28–36 Months) Late \$750.00
328	12. maividual registration/ certificate of Mathonization (20-50 Monthis) Eate \$750.00
329	F. Continuing Education Late Fees
330	Failure to fulfill the continuing education requirements, or failure to file the required
331	annual continuing education affidavit properly completed, by July 31, shall result in the
332	non-renewal of the individual's registration. A nonrefundable penalty of two hundred
333	fifty dollars (\$250.00) per month, for a maximum of one thousand dollars (\$1000.00) per
334	year, for a period of three (3) years, shall be levied in addition to the renewal fee and late
335	fees, determined in accordance with the schedule set forth as follows:
336	

337	1. Annual Continuing Education Affidavit (1 Month) Late	\$ 250.00
338	2. Annual Continuing Education Affidavit (2 Months) Late	\$ 500.00
339	3. Annual Continuing Education Affidavit (3 Months) Late	\$ 750.00
340	4. Annual Continuing Education Affidavit (4–12 Months) Late	\$1,000.00
341	5. Annual Continuing Education Affidavit (13 Months) Late	\$1,250.00
342	6. Annual Continuing Education Affidavit (19 Months) Late	\$1,500.00
343	7. Annual Continuing Education Affidavit (15 Months) Late	\$1,750.00
344	8. Annual Continuing Education Affidavit (16–24 Months) Late	\$2,000.00
345	9. Annual Continuing Education Affidavit (25 Months) Late	\$2,250.00
346	10. Annual Continuing Education Affidavit (26 Months) Late	\$2,500.00
347	11. Annual Continuing Education Affidavit (27 Months) Late	\$2,750.00
348	12. Annual Continuing Education Affidavit (28–36 Months) Late	\$3,000.00
010	12. Thinkin Continuing Date and the Continuity Date	40/000.00
349	G. Miscellaneous Fees	
350	1. Replacement or Duplicate Wall Certificate	<u>\$ 30.00</u>
351	2. ARE® Score Transfer Fee	<u>\$ 50.00</u>
352	3. Electronic File of Roster	<u>\$ 250.00</u>
353	4. Returned Check Fee	<u>\$ 25.00</u>
354	SECTION IV	
355	REGISTRATION STANDARDS	
356	SECTION VII	
357	REGISTRATION	
358	A. Issuance	
358 359		uirements of the
	A. Issuance A Certificate of Registration shall be issued to individuals meeting all rec Act and Rules and Regulations of the Board. The certificate shall bear the	
359	A Certificate of Registration shall be issued to individuals meeting all rec	ename and
359 360	A Certificate of Registration shall be issued to individuals meeting all red Act and Rules and Regulations of the Board. The certificate shall bear the	e name and , but unlicensed
359 360 361	A Certificate of Registration shall be issued to individuals meeting all red Act and Rules and Regulations of the Board. The certificate shall bear the registration number of the architect. Every architect certified by NCARB,	: name and , but unlicensed ; after
359 360 361 362	A Certificate of Registration shall be issued to individuals meeting all red Act and Rules and Regulations of the Board. The certificate shall bear the registration number of the architect. Every architect certified by NCARB, in Arkansas, shall apply to the Board for licensing within thirty (30) days) name and , but unlicensed) after hitect by the
359 360 361 362 363	A Certificate of Registration shall be issued to individuals meeting all red Act and Rules and Regulations of the Board. The certificate shall bear the registration number of the architect. Every architect certified by NCARB, in Arkansas, shall apply to the Board for licensing within thirty (30) days notification of selection (whether notified orally or in writing) as the Arc) name and , but unlicensed) after hitect by the

366	B. Duration
367	Certificates of registration shall expire on July 31 of each year and shall become invalid
368	on August 1 unless renewed. All renewals must be either received in the Board office by
369	the close of business on July 31 or postmarked by July 31. Renewal may be effected at
370	any time during the month of July by payment of the renewal fee as provided in
371	SECTION VII, C. Only renewal forms which contain the completed renewal application,
372	continuing education report form demonstrating compliance with continuing education
373	requirements and the required renewal fees will be processed.
374	E. Not Transferable
375	A registration shall not be transferable.
376	F. Revocation, Suspension, Cancellation or Non-Renewal or Registration
377	1. Upon notice of the Board, certificates of registration suspended, revoked, or refused by the
378	Board to renew for cause, as defined in E.2 of this Section, shall be surrendered immediately
379	in the manner prescribed by that notice.
380	2. Cause defined. Cause shall be defined as any violation of the Arkansas Architectural Act
381	and/or the Board's current Rules and Regulations. Cause shall also be defined as not
382	meeting the continuing education requirements, suspension or revocation of a license, or
383	NCARB withdrawing the certification of the individual Registrant.
384	A. D. Registration StandardsInitial Registration Standards
385	To be granted registration <u>other than through reciprocity</u> , an applicant must <u>meet the</u>
386	following requirements:
380	tonowing requirements.
387	1. <u>An applicant must Bb</u> e of good moral character, as verified by employers and
388	registered architects other references.
389	2. <u>An applicant must After January 1, 1985, hold a professional degree in</u>
390	architecture from a degree program that has been accredited by NAAB ₂ -not
391	later than two years after termination of enrollment or must have
392	successfully complete <u>d</u> the <u>NCARB b</u> Broadly <u>e</u> Experienced <u>a</u> Architect (BEA)
393	or broadly experienced foreign architect (BEFA) process. with NCARB and
394	awarded an NCARB Certificate; if prior to January 1, 1985, the applicant
395	must have 12.5 years of combined education and experience until January 1,
396	1985.
397	3. <u>An applicant must Hh</u> ave <u>completed</u> satisfied the IDP requirements in
398	accordance with current NCARB standards. IDP requirements are waived for
399	applicants who have received NCARB certification prior to July 1984 or have
400	been NCARB certified and actively practiced architecture for three years or
401	more.
402	4. <u>An applicant must Hhave passed the Examination-ARE® in accordance with</u>
403	the current NCARB standards.

404	5. <u>An applicant must C</u> completed an affidavit supplied by the Board attesting
405	to havinge read and understood the Arkansas Architectural Act and Rules
406	and Regulations.
407	a. Successfully passed the jurisprudence test on the Arkansas Architectural Act
408	and Rules and Regulations of the Board.
409	2. In evaluating records, the Board shall apply the current education and
410	training standards, except that an applicant who qualified under the standards
411	current at the time of his/her application shall be evaluated by those standards.
412	3. In evaluating records, the Board may, prior to granting a registration, require
413	substantiation of the quality of character of the applicant's experience,
414	notwithstanding the fact that the applicant has complied with the technical
415	registration requirements set forth above.
416	
417	When evaluating qualification, the Board may require that the applicant substantiate his
418	or her qualifications.
419	
420	Other experience may be substituted for the registration requirements set forth in this
421	section. However, the Board will make the determination of whether the substitution is
422	equivalent to, or better than, such requirements. The burden shall be on the applicant to
423 424	show clear and convincing evidence of the equivalency of such other experience.
425	B. Reciprocal Registration Standards
426	An applicant who holds a current and valid certification issued by NCARB and submits
427	satisfactory evidence of such certification to the Board can be registered, providing the
428	applicant meets the following requirements:
429	1. An applicant holds a valid registration as an architect issued by a registration
430	authority of the United States or Canada and submits satisfactory evidence of
431	such registration to the Board.
432	2. An applicant files his or her application in a form prescribed by the Board and
433	pays the applicable fees established by the Board.

	be added to the rules as they existed prior to this proposed revision.
434	SECTION V
435	RECIPROCAL REGISTRATION
436	B. Information Required
437	1. Application accompanied by NCARB Certificate evidencing that qualifications
438	for or iginal reg istration are equivalent to those required in Arkansas on the date
439	of original registration.
440	<u>3.</u> Before registration, <u>the Board may</u> , at its discretion, require individual applicants
441	to appear before <u>the</u> Board when <u>a</u> record does not clearly indicate <u>that</u> the
442	applicant is qualified for registration in Arkansas.
443	C. B. Registration Prohibited
444	One or more of the following acts shall be sufficient to prevent <u>the</u> applicant from being
445	considered for registration and license . :
446	
447	1. Practicing architecture without registration or license in Arkansas or any other a
448	state, territory, district, or zone of the United -States,- in violation of a law
449	governing such practice.
450	2. Conviction of a felony.,-subject to Arkansas Act 280 Of 1973.
451	3. Submitting a misstatement or misrepresentation of fact in connection with an
452	application for examination registration, application for reciprocal registration,
453	or any other official communication with the Board.
454	4. Committing an act prohibited by a provision of the Arkansas Architectural Act
455	or the Rules and Regulations of this Board in effect at that time.
456	
457	D. Practice Permitted without Certificate of Registration
458	1. A non-resident architect who holds an NCARB certificate may offer to practice
459	architecture in Arkansas for an individual project, providing he or she notifies the Board
460	in writing that:
461	
462	a. He or she holds an NCARB certificate and is not currently registered in Arkansas,
463 464	but will be present in Arkansas for the purpose offering to render architectural services for a single project.
464 465	b. The applicant will deliver a copy of the notice referred to above to every potential
466	client to whom he or she offers to render services.
467	c. He or she promises to apply to the Board within thirty (30) days for registration if
468	selected for the project.

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469	
470	2. A non-resident architect who holds an NCARB certificate may offer to seek an
471	architectural commission in Arkansas by participating in a single architectural
472	design competition, providing <u>that he or she notifies the Board in writing for each</u>
473	competition that:
474	
475	a. He or she holds an NCARB certificate and is not currently registered in
476	Arkansas, but will be present in Arkansas for the purpose of offering to render
477	architectural services for a single project.
478	b. The applicant will deliver a copy of the notice referred to above to every
479	potential client to whom he or she offers to render services.
480	c. He or she promises to apply to the Board within thirty (30) days for
481	registration if selected for the project.
482	
483	3. A non-resident architect may provide uncompensated professional services at the
484	scene of an emergency at the request of a public officer, public safety officer, or
485	municipal or county building inspector acting in an official capacity.
486	E. Renewal
487	1. Certificates of registration for individuals and corporations which have expired or
488	have been revoked due to non-payment of the annual renewal fee, may be reinstated
489	through the payment of the renewal fee in effect at the time plus a penalty of fifty
490	dollars (\$50.00) per month for the first three (3) months the certificates have expired
491	or have been revoked. Thereafter, an additional penalty of one hundred dollars
492	(\$100.00) for the balance of one (1) year for a maximum penalty of two hundred fifty
493	dollars (\$250.00).
494	
495	A registrant who does not properly renew their license may not practice architecture
496	after the expiration of the license. A registrant who continues to practice on an
497	expired license will be subject to disciplinary sanctions as the Board deems
498	appropriate.
499	1. Certificates of registration shall expire on July 31 of each year and shall become
500	invalid on August 1, unless renewed.
501	2. All renewals must be either received in the Board office by end of business on July 31
502	or postmarked by July 31.
503	3. Only renewal forms that contain the completed renewal application, annual
504	continuing education affidavit attesting compliance with continuing education
505	requirements, and the required renewal fees will be processed.

506 <u>4. A resident who does not properly renew his or her license may not practice</u>
 507 <u>architecture after the expiration of the license. A registrant who continues to practice</u>
 508 <u>on an expired license will be subject to such disciplinary action as the Board deems</u>
 509 <u>appropriate.</u>

510 <u>F. Emeritus Status Registration Required</u>

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- Registrants, who are retired from the active practice of architecture and who who are
 65 years of age or older, may request emeritus status by filing out the application.
 showing compliance with this Section.
 - <u>2.</u> Emeritus status licensees are exempt from continuing education requirements unless they reactivate their licenses to active status.
- 5163. in accordance with Section G of this Section. Registrants who have been on emeritus517status for more than one (1) year may be readmitted to active practice upon proper518application and completion of twenty-four (24) hours of continuing education hours.519Registrants who have been on emeritus status for one (1) year or less may be520readmitted to active practice upon proper application and completion of twelve (12)521hours of continuing education.
 - 4. An emeritus seeking readmission to active practice shall pay all applicable fees, not to exceed the current license fees

524 G. Emeritus Readmission to Active Practice

- Emeritus persons may be readmitted to the active practice upon proper application and completion of twenty-four (24) hours of continuing education hours. For individuals who have been on emeritus status for one year, they must complete twelve (12) hours of continuing education hours.
 - 2. Fees required. An emeritus licensee seeking readmission to active practice shall pay all applicable fees, not to exceed the current license fees.

G. Reissuance Reinstatement of Lapsed License

- <u>1.</u> An individual whose license has lapsed <u>for any reason due to nonpayment of fees</u> and/or failure to comply with continuing education requirements may be <u>renewedreinstated</u>, at any time within three (3) years, from the date of cancellation of the <u>licenseregistration</u>.
 - a. Prior to reinstatement-of the license, the individual must show<u>demonstrate</u> compliance with the Act and the <u>Board's</u>-current Rules and Regulations.
 - b. <u>The applicant must pay all</u> and make payment to the Board the fees, <u>that</u> which-would have been-accrued since the time of cancellation and which

544 545	would have been paid at the time of reinstatement, together with <u>as well</u> <u>as</u> the amount of penalties <u>due.</u> -outlined in Section VII C.
546	2Registrants who hold an NCARB certification and have allowed their registration to
547	lapse for a period of three (3) or more years must re-apply through the means of
548	which the initial license was granted reciprocity.
549	
550	1.3. Registrants without NCARB certification and who were initially registered in
551	Arkansas may re-apply without retaking the ARE®.
552	2. <u>4.</u> The examining body, <u>Board</u> for reasons it may deem sufficient, may reissue a
553	certificate of registration to any person whose certificate has lapsed or has been
554	suspended or revoked, providing that no charges of violation of this act are pending.
555	in any court of record in this state and that three (3) or more members of the
556	examining body vote in favor of reissuance.
557	
558	SECTION VI
559	EXAMINATION
560	A. General
561	<u>1.</u> Applicants for registration by examination must submit to and pass the Architect
562	Registration Examination (<u>ARE®</u>).as outlined below.
563	2All such applicants for registration by examination must be 21 years of age and
564	must have been approved as a candidate for the e xamination by the Board. A
565	pre-requisite for making application to the Architect Registration Examination is
566	completing the Intern Development Program Training Requirement, in
567	accordance with the IDP guidelines developed under the auspices of the
568	National Council of Architectural Registration Boards (NCARB) and the
569	American Institute of Architects and administered by the NCARB.
570	3. All applicants for registration by examination must establish an NCARB Council
E71	
571	record and be enrolled in the NCARB Intern Development Program.
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	record and be enrolled in the NCARB Intern Development Program.
572	record and be enrolled in the NCARB Intern Development Program. B. Applications
572 573	 <u>record and be enrolled in the NCARB Intern Development Program.</u> B. Applications <u>The Board shall consider t</u><u>T</u>he application packet for the exam<u>ination must contain the</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u> <u>if</u>
572 573 574	 record and be enrolled in the NCARB Intern Development Program. B. Applications The Board shall consider tThe application packet for the examination must contain the if the following items are contained therein:
572 573 574 575	 record and be enrolled in the NCARB Intern Development Program. B. Applications The Board shall consider tThe application packet for the examination must contain the if the following items are contained therein: 1. The completed Arkansas application form₁, and
572 573 574 575 576	 record and be enrolled in the NCARB Intern Development Program. B. Applications The Board shall consider tThe application packet for the examination must contain the if the following items are contained therein: The completed Arkansas application form.₇ and An NCARB Council Record documenting the following:

580 581	 b. <u>Proof of enrollment in Completion of the Intern Development Program</u> (IDP). <u>Training Requirement</u>, or satisfaction of the Canadian Intern
582 582	Program; and 2. The Board records the right on every time to require that an everlipent to reading
583	<u>3.</u> The Board reserves the right an any time to require that an applicant <u>to produce</u>
584 585	substantiation for all or a ny part of the verified <u>his</u> or her record. of experience
	which he/she asserts as been attained. The board may, prior to admission to the
586 587	examination, require substantiation of the quality and character of the training
587	prior to admission to the examination. notwithstanding that fact that the
588	applicant has complied with the technical training requirements set forth herein;
589	C. Conditions for Examination
590	1. The Board will determine the eligibility of each applicant and will forward
591	eligibility information to NCARB.
592	2. The Board will allow the applicant to take the ARE® at any NCARB-approved
593	test center, whether or not it is located in the State of Arkansas.
594	3. The Board will accept the ARE® results determined by NCARB and will report
595	the results to the applicant.
596	4. If there is any alleged misbehavior on the part of an applicant in connection with
597	taking the examination, the Board will investigate the allegation and take
598	appropriate action. Without limitation, misbehavior may include- violation of
599	NCARB guidelines or polices, or of an applicant's confidentiality agreements
600	with respect to the examination.
601	D. Format
602	The Board will select a test vendor who will administer the A.R.E. as prepared by
603	NCARB. The test will be administered to all candidates who have been approved by the
604	Board in accordance with the training and education requirements pertaining to
605	registration by examination. The examination will be administered in compliance with
606	those methods and procedures recommended by NCARB and adopted by the Board.
607	E. Schedules
608	
608 609	Examinations will be administered in compliance with NCARB Guidelines to approved candidates only. The NCARB Data Center will send candidates information on the
610	procedure for scheduling a test session, the test content, and instructions on taking the
611	computer based examination after it receives the candidate eligibility notification from the Record
612	the Board.
613	F. Reporting
614	Approved candidates shall appear personally for exams at the designated date, time and
615	place, as specified by the Test Vendor.

G	Grading
	The Architect Registration Examination shall be graded in accordance with the methods
	and procedures recommended by the NCARB. In order for an examination candidate to
	achieve registration, all sections of the examination must be passed with the minimum
	score specified by the NCARB.
H	Conditions
	The Test Vendor will monitor the computer exam in strict compliance with the
	procedures approved by the NCARB.
I.	Re-Examination
	A candidate is allowed unlimited retakes of the A.R.E.
J.	Score Reporting and Reviews
	Once the scores are released, the Board will advise each candidate of his/her score. A
	score of PASS must be achieved by the exam candidate on all divisions of the A.R.E. in
	order for that candidate to be considered for initial registration.
K.	D. Fees
	Each examination candidate shall pay a two three hundred fifty dollars (\$3250.00) one-
	time application fee per five-year testing period to the Board. The examination fees for
	each division of the A-R-E-® will be established by NCARB and paid directly to the test
	vendor by the candidate.
Ŀ.	E. Disabled Examinees
-	<u>1.</u> Requests for modifications to the examination procedure to accommodateinge
	physical or other disabilities must be made to the Board in writing writing to the
	Board.
	2A physician's report must accompany such a the request in order to by a
	diagnostic specialist, along with supporting data, confirm ing to the Board's
	satisfaction, the nature and extent of the disability. After receipt of the request
	from the applicant, the Board may require that the applicant supply further
	information and/or that the applicant appears personally before the Board. It
	shall be the responsibility of the applicant to timely supply all further
	information as the Board may require.
	3. The Board shall send their its recommendations for modifications to NCARB for
	a final determination. NCARB has the final authority with regard to any
	modifications for the examination.
<u>F.</u>	Transfer of Scores to and from Other Jurisdictions
	1. At its discretion, the Board may forward the grades achieved by an applicant in
	the various divisions of the ARE® for the respective purposes of evaluating the

652	applicant's eligibility for registration or permitting NCARB to evaluate the
653	applicant's edibility for NCARB certification. These grades may be sent to any
654	other state in the union or to the Canadian Architectural Registration Board
655	(NCARRB). The Board also may receive from any board or the NCARB,
656	applicant grades in the various divisions of the ARE®.
657	2. Any applicant making the request shall state his or her reason for requesting the
658	transfer and shall pay an applicable transfer fee established by the Board.
659	3. Transfer to another jurisdiction shall terminate the applicant's application

3. Transfer to another jurisdiction shall terminate the applicant's application pending before the Board or other transmitting jurisdiction, as the case may be.

SECTION **IVI**

CONTINUING EDUCATION

663 A. Purpose Scope

These rules shall apply to every architect registered in the State of Arkansas as a
condition for renewal on an annual basis. provide for a continuing education program to
insure that all registered architects remain informed of those technical and professional
subjects, which the Board deems appropriate to safeguard life, health, and promote the
public welfare. Statutory Authority: Code of Arkansas 1995, Act 784.

B. Policy and Administration Exemptions

670	An architect registered in the State of Arkansas may not be subject to participating in the
671	continuing education requirements set forth by these rules for one of the following
672	reasons:Continuing Education Committee shall consist of all members of the Arkansas
673	State Board of Architects. The Committee shall have the following duties:
674	1. To exercise general supervisory authority over the administration of these

To exercise general supervisory authority over the administration of these rules.

- 2. To establish regulations consistent with these rules.
- 3. To organize sub-committees and delegate executive authority.
- 4. To exercise final authority with respect to accepting or rejecting continuing education activities for credit.

680 Scope and Exemptions

- 1. Scope: These rules shall apply to every Arkansas registered architect as a condition for renewal of registration on an annual basis.
- 683
 683 2. Exemptions: A registrant may be exempt from participating in the continuing
 684 education program required by these rules for one of the following reasons:

 shall be exempt for his/her first renewal period. b. Registrant is an emeritus status architect₁-as defined in Section 1 D. c. Registrant is a civilian who has serveds on active duty in the Armed Forces of the United States for a period of time exceeding ninety (90) consecutive days during the annual reporting period. d. Registrants of another NCARB jurisdiction with either a mandated or voluntary program, provided that same jurisdiction accepts Arkansas continuing education requirements as satisfying their continuing education requirements of that jurisdiction for current continuing education requirements. The Registrant experiencing physical disability, illness or other extenuating circumstances may request exemption from the continuing education for the Board's review. The Board on an individual basis will consider such hardship cases. The request for a hardship must be received in the Board office by June 1 of each year. C. Requirements 1. Fach Arkansas registered architect in addition to all other requirements for registration neuwal, shaltmust complete a minimum of twelve (12) continuing education hour seach fiscal year calendar yeary beginning August 1, 1997. OneA continuing education hour (CEH) shall represent a one continuous instructional hour (50 to 60 minutes of contact) spent in structured education activities intended to increase or update the architect's knowledge and competence in health, safety, and welfare subjects. If the provider of the structured education activities intended to error with the prescribed time for completion of such an activity, then such prescribed as the architect's knowledge and competence in health, safety, and welfare subjects. If the provider of the structured education activities intended to courses of study. a. Registrants shall complete a mini	686	a. A first_time, new registrant by examination or first_time reciprocal registrant
689 c. Registrant is a civilian who has serveds on active duty in the Armed Forces of 690 the United States for a period of time exceeding ninety (90) consecutive days 691 during the annual reporting period. 692 d. Registrants of another NCARB jurisdiction with either a mandated or 693 columtary program, provided that same jurisdiction accepts Arkanaas 694 continuing education requirements as satisfying their continuing education 695 requirement, and the registrant certifies by affidavit and annual report that 696 all requirements of that jurisdiction for current continuing education 697 compliance and registration have been met. 698 e.d. A Registrant experiencing physical disability, illness or other extenuating 699 circumstances may request exemption from the continuing education 690 requirements. The Registrant shall provide supporting documentation for the 691 Board's review. The Board on an individual basis will consider such hardship 692 c. Requirements 704 To f each year. 705 C. Requirements 706 1. Each Arkansas registered architect in addition to all other requirements for 707 registration renewal, shallmust complete a minimum of twelve (12)	687	shall be exempt for his/her first renewal period.
689 c. Registrant is a civilian who has serveds on active duty in the Armed Forces of 690 the United States for a period of time exceeding ninety (90) consecutive days 691 during the annual reporting period. 692 d. Registrants of another NCARB jurisdiction with either a mandated or 693 voluntary program, provided that same jurisdiction accepts Arkanas 694 continuing education requirements as actiofying their continuing education 695 requirement, and the registrant certifies by affidavit and annual report that 696 all requirements of that jurisdiction for current continuing education 697 compliance and registrant shall provide supporting documentation for the 698 e.d. A Registrant experiencing physical disability, illness or other extenuating 699 circumstances may request exemption from the continuing education 690 requirements. The Registrant shall provide supporting documentation for the 701 Board's review. The Board on an individual basis will consider such hardship 702 cases. The request for a hardship must be received in the Board office by June 704 1 of each year. 705 C. Requirements 706 1. Each Arkansas registered architect in addition to all other requirements for	688	
690 the United States for a period of time exceeding ninety (90) consecutive days during the annual reporting period. 691 d. Registrants of another NCARB jurisdiction with either a mandated or voluntary program, provided that same jurisdiction accepts Arkansas continuing education requirements as satisfying their continuing education requirement, and the registrant certifies by affidavit and annual report that all requirements of that jurisdiction for current continuing education compliance and registration have been met. 698 e.d. A Registrant experiencing physical disability, illness or other extenuating circumstances may request exemption from the continuing education requirements. The Registrant shall provide supporting documentation for the Board's review. The Board on an individual basis will consider such hardship cases. The request for a hardship must be received in the Board office by June 1 of each year. 704 C. Requirements 705 C. Requirements 706 1. Each Arkansas registered architect in addition to all other requirements for registration renewal, shallmust complete a minimum of twelve (12) continuing education hours each fiscal year calendar year_beginning. August 1, 1997, OneA continuing education hour (CEH) shall represent a one continuous instructional hour (50 to 60 minutes of contact) spent in structured education activities intended to increase or update the architect's knowledge and competence in health, safty, and welfare subjects. If the provider of the structured education activities prescribes a customary time for completion of such an activity, then such prescribed time shal, unless the Board finds the prescribed time to be unreasonable, be accepted as the architect's line for continuing education hour p	689	
691 during the annual reporting period. 692 d.—Registrants of another NCARB jurisdiction with either a mandated or voluntary program, provided that same jurisdiction accepts Arkansas 694 continuing education requirements as satisfying their continuing education requirement, and the registrant certifies by affidavit and annual report that all requirements of that jurisdiction for current continuing education compliance and registration have been met. 696 ed. A Registrant experiencing physical disability, illness or other extenuating circumstances may request exemption from the continuing education requirements. The Registrant shall provide supporting documentation for the Board's review. The Board on an individual basis will consider such hardship cases. The request for a hardship must be received in the Board office by June 1 of each year. 706 1. Each Arkansas registered architect in addition to all other requirements for registration renewal, shallmust complete a minimum of twelve (12) continuing education hours each fiscal year calendar year, beginning. August 1, 1997, OneA continuing education hour (CEH) shall represent a-one continuous instructional hour (50 to 60 minutes of contact) spent in structured education activities intended to increase or update the architect's knowledge and competence in health, safety, and welfare subjects. If the provider of the structured education activities prescribes a customary time for completion of such an activity, then such prescribed time shall, unless the Board finds the prescribed time to be unreasonable, be accepted as the architect's time for continuing education hour purposes irrespective of the actual time spent on the activity, minimum of fifty (50) minutes of actual course time. No credit will be allowed for meals, breaks,	690	
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 725 726 727 728 729 730 731 732 	 Topics for the twelve (12) continuing education hours shall meet the following requirements: <u>All twelve (12) CEH's must be completed in health, safety, and welfare subjects acquired in structured educational activities.</u> a. Eight (8) CEH's (minimum) shall include the study of relevant technical and professional architectural subjects related to safeguarding life, health, property, and promoting public welfare. b. Four (4) CEH's (maximum) may consist of elective topics related to any other area in the practice or architecture.
733	3. Structured educational activities are courses of study in which at least 75 percent of
734	the activities content and instructional time is devoted to acceptable health, safety,
735	and welfare subjects related to the practice of architecture, including courses of
736	study, monographs, or other activities under the areas identifies as health, safety and
737	welfare subjects and provided by qualified individuals or organizations, whether
738	delivered by direct contact or distance learning methods.
739	
740	4. Health, safety and welfare subjects are the technical and professional courses that the
741	Board deems appropriate to safeguard the public and that are within the following
742	enumerated areas necessary for the proper evaluation, design, construction and
743	utilization of buildings and the built environment:
744	
745	a. Legal: law, codes, zoning, regulations, standards, life safety, accessibility, ethics,
746	insurance to protect the owner or public
747	b. Technical: surveying, structural, mechanical, electrical, communication, fire
748	protection, controls
749	c. Environmental: energy efficiency, sustainability, natural resources, natural
750	hazards, hazardous materials waterproofing, insulation
751	d. Occupant Comfort: air quality, lighting, acoustics, ergonomics
752	e. Materials and Methods: building systems, products, finishes, furnishings,
753	equipment
754	<u>f.</u> Preservation: historic, reuse, adaptation
755	g. Pre-Design: land use analysis, programming, site selection, site and soils
756	analysis
757	h. Design: urban planning, master planning, building design, site design, interiors,
758	safety and security measures
759	i. Construction Documents: drawings, specifications, delivery methods
760	j. Construction Administration: contracts, bidding, contract negations
761	

762	Acceptance of Continuing Education Hours
763	Continuing education credits shall be measured in CEH and shall be computed as
764	follows:
765	5. Attending seminars, lectures, presentations, workshops, or courses shall constitute
766	one CEH for each hour of attendance.
767	
768	6. Successfully completing tutorials, short courses, correspondence courses, televised or
769	videotaped courses, web based courses, monographs and other self-study courses
770	shall constitute the CEH recommended by the program sponsor.
771	a. Teaching or instructing a qualified seminar, lecture, presentation, or
772	workshop shall constitute two (2) CEH for each contact hour spent in the
773	actual first time presentation. Teaching credit shall be valid for teaching a
774	seminar or course in its initial presentation only. TEACHING CREDIT SHALL
775	NOT APPLY TO FULL TIME FACULTY AT A COLLEGE, UNIVERSITY, OR
776	OTHER EDUCATIONAL INSTITUTION.
777	
778	7. CEH credit will not be granted to faculty members at a college, university, or other
779	educational institution.
780	
781	<u>8.</u> Successfully completing one or more college or university semester or quarter hours
782	in architectural subjects shall satisfy the continuing education hours for the <u>calendar</u>
783	year in which the course was completed.
784	
785	9. Any structured program in health, safety, and welfare contained in the record of an
786	approved professional registry will be accepted by the board as fulfilling the
787	continuing education requirements of these rules.
788	
789	<u>10.</u> The <u>bB</u> oard approves the American Institute of Architects as a professional
790	registry. , and <u>The Board will accept</u> contact hours listed <u>by in structured health,</u>
791	safety, and welfare in the American Institute of Architects Continuing Education
792	Services (AIA/CES) Transcript of Continuing Education Activities for will be
793	accepted by the board for both resident and non-resident architects. The Registrant
794	shall provide individual participant documentation from a person other than the
795	participant for record keeping and reporting.
796	
797	D. Reporting and Record Keeping
798	
799	<u>1. At Each registrant at renewal of registration time, each architect registered in the</u>
800	State of Arkansas shall complete and submit an affidavit prescribed by the Board
801	attesting to the <u>his</u> or her <u>registrant's</u>-fulfillment of <u>the required</u> continuing

802	education hours listed aboverequirements during the preceding calendar year.
803	period of one fiscal year ending July 31.
804	No carry-over of continuing education hours from previous <u>calendar</u> year is
805	permitted. Affidavits with an accompanying report concerning registrant's methods
806	of completion of requirements shall be submitted on a form provided by the
807	Committee.
808	3. Any untrue or false or misleading statements or the use thereof with respect to
809	course attendance or any other aspect of continuing education activity is <u>considered</u>
810	fraud <u>and/</u> or misrepresentation <u>. and Such activity</u> will subject the architect and/or
811	program sponsor to license revocation or other disciplinary action.
812	4. Documentation of health, safety and welfare (HSW) credit must be indicated by the
813	course provider. The provider must clearly indicate the course is HSW.
814	
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816	D.E. Audits
817	<u>1.</u> Each affidavit shall<u>may</u> be reviewed by the <u>CommitteeBoard</u> and may be subject to
818	audit for verification of compliance with requirements.
819	2. Documentation of reported continuing education hours shall be maintained by the
820	architect for six years from the date of award. Registrants shall retain proof of
821	fulfillment of requirements for a period one (1) year after submission in the event the
822	Registrant is selected for audit.
823	3. The BoardCommittee may, upon audit for verification of compliance, disallow
824	claimed credit for continuing education hours.
825	4. If the Board disallows any continuing education hours the architect shall have forty-
826	five (45) days from notice of such disallowance either to provide further evidence of
827	having completed the continuing education disallowed or to remedy the
828	disallowance by competing the required number of continuing education hours. The
829	registrant shall have ninety (90) calendar days after notification of disallowance of
830	credits to substantiate the original claim or earn other CEH credit, which fulfills
831	minimum requirements. If the Board determines that the information supplied on
832	the continuing education report form is false or misleading, the architect may be
833	subject to disciplinary action.
834	
835	E.F. Noncompliance and Sanctions
836	<u>1.</u> Failure to fulfill the continuing education requirements, or <u>failure to</u> file the annual
837	report required affidavit properly completed and signed, by July 31 shall result in
838	non-renewal of individual registration. a penalty of two hundred fifty dollars
839	(\$250.00) per month for a maximum of one thousand dollars (\$1,000) each year in
840	addition to the renewal fee and late payment fees. If the Board finds, after proper
841	notice that the architect willfully disregarded these requirements or falsified
ļ	

842documentation of the required continuing education hours, the architect may be843subject to disciplinary action in accordance with board regulation.

844 2. At the discretion of the Board, a temporary renewal of license may be issued for up to 845 forty-five ninety (4590) days. If the continuing education deficiency has not been cleared to the satisfaction of the Board within forty-five (45) calendar days, the 846 registrant may no longer practice architecture in the State of Arkansas without 847 incurring disciplinary action. Documentation of completion of continuing education 848 849 credits shall be submitted to the Board within the ninety (90) day period. Failure to 850 comply with the Continuing Education requirements may result in revocation of your 851 license.

SECTION VII

853 ORGANIZATIONAL PRACTICE OF ARCHITECTURE IN ARKANSAS

A. Permission to Practice of Architecture in <u>as a</u> Corporate<u>ion</u> or

Partnership Form

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- Any corporation, (which term as used herein shall include any professional corporation,) and or any partnership, whether organized under the laws of this or any other jurisdiction, may not offer to engage in or engage in the practice of architecture in the State of Arkansas until such corporation or partnership has obtained a certificate of authorization issued by the Board; and the certificate shall be valid until December 31st of the year in which it was obtained.
- The Board shall issue a certificate of authorization to a corporation, partnership, or limited liability association upon receipt of the materials listed below, and upon ascertaining that the conditions set forth in <u>Ark. Code Ann. § 17-15-101 et seq.</u> <u>Arkansas Act 270</u> have been met.
 - a. An application of a form approved by the Board.
 - (1) In <u>the</u> case of a corporation, the application shall be signed by the corporation president and secretary, and shall include the following information: the jurisdiction under which such corporation is organized_i, the name, <u>and</u>-resident addresses, and states of registration and registration numbers <u>of</u> each director; and the identity of each director engaging in the practice of architecture in Arkansas.
 - (2) In the case of a partnership, the application shall be signed by a general partner who is a registered architect, and shall include the following information: the jurisdiction under which such partnership is organized; the name and resident addresses, and

878 879 880 881 881 882 883 883 885 886 887 888	 states or of registration and registration numbers of each general partner; and the identity of each general partner engaging in the practice of architecture in Arkansas. b. In the case of a corporation, a copy of the corporation's articles of incorporation or similar charter document certified by the secretary of state of the jurisdiction in on which the corporation is organized; provided however, that, subject to SECTION VIII, A., 3., articles of organization need not be resubmitted if the corporation is seeking renewal of a certificate of authorization. c. A-Payment of a fee of Four-two hHundred fifty dollars (\$2540.00).
889	B. Unlawful Practice of Architecture
890	1. It shall be unlawful to practice architecture in an office not under the day_to_
891	day supervision of a registered architect.
892	2. It shall be unlawful for an architect to falsely represent himself as being in
893 894	responsible control of architectural work, or to permit his <u>/her</u> seal, or facsimile
895	thereof, to be used by another for any purpose.
895	3. A firm engaged in the practice of architecture in Arkansas must employ one (1)
890 897	or more persons registered to practice architecture in Arkansas who <u>isare</u> in full authority and responsible control of the firm's architectural practice. Persons in
898	full authority and responsible control shall mean regularly employed persons in
899	that office who are in an unrestricted, unchecked, and unqualified control of, and
900	are legally accountable for, the actions of such architectural practice.
900 901	 Any office maintained for the preparation of drawings, specifications, reports,
902	and other professional work shall have in that office an architect duly registered
903	with this Board, in full authority and responsible control, having direct
904	knowledge and responsible control of such work.
905	5. Each firm shall provide and maintain the current mailing address and physical
906	address of its main office and each office located in Arkansas.
907	<u>6.</u> If any change occurs in any of the information provided to the Board pursuant to
908	SECTION VIII, A., 2., a., b. and 3.g during the period for which certificate of
909	authorization is granted, such change shall be reported to the board within thirty
910	(30) days after the effective date of such change.
911	C. Suspension, Revocation, or Cancellation of Certificate of Authorization
912	The Board may revoke, suspend, or cancel a certificate of authorization granted
913	pursuant to SECTION VIII if any officer, director, or employee of a corporation or any
914	general partner or employee of a partnership violates any provision of <u>Ark. Code Ann. §</u>
915	<u>17-15-101 et seq. Arkansas Act 270, "Arkansas Architectural Act"</u> or these regulations _{<i>i</i>} ;
916	provided, however, that it shall be an affirmative defense to show that such individual

 violation occurred. B.D. Design and Use of Architect's Seal Pursuant to Arkansas Act 270, known as the "Arkansas Architectural Act" and subject to SECTION VII, A., and SECTION VIII, A., 2., eEach registered architect shall procure a seal which shall contain the name of the registered architect. gat which shall contain the name of the registered architect. gat which shall contain the name of the registered architect. gat which shall comply, in all respects, in size and format, with the description of the design requirements as set forth below: Seal Design Requirements a. Design; M-metal impression, rubber stamp or printed, 2 concentric circles 1 1/2" and 1" diameter. Space between bearing words "Registered Architect" and "State of Arkansas,". Space within inner circle bearing names and registration numbers as follows: For a registered corporation, the corporation name and registered number. For a Pgartnership, the partnership name and registration numbers of partners. For an iIndividual, the –name and registration number. Any method that legibly reproduces the architect's seal is permitted. Use of Architect's Seal Architect's shall affix their seal, actual signature, and date of affixation to all original contract documents, including index sheets identifying all drawings 	917	as was not acting as an agent of the corporation or the partnership at the time such
 B.D. Design and Use of Architect's Seal Pursuant to Arkansas Act 270, known as the "Arkansas Architectural Act" and subject to SECTION VII, A., and SECTION VIII, A., 2., eEach registered architect shall procure a seal which shall contain the name of the registered architect, ilcense registration number. and the words: "REGISTERED ARCHITECT - STATE OF ARKANSAS" Theis seal shall comply. in all respects. in size and format. with the description of the design requirements as set forth below: Seal Design Requirements a. Design: M-metal impression, rubber stamp or printed, 2 concentric circles 1 1/2" and 1" diameter. Space between bearing words "Registered Architect" and "State of Arkansas.". Space within inner circle bearing names and registration numbers as follows: For a registered corporation, the corporation name and registered number. For a registered corporation, the partnership name and registration numbers of partners. For a individual, the -name and registration numbers. Any method that legibly reproduces the architect's seal is permitted. Use of Architect's Seal a. Architects shall affix their seal, actual signature, and date of affixation to all 	918	
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940 a. Architects shall affix their seal, actual signature, and date of affixation to all		
	I	-
941 original contract documents, including index sheets identifying all drawings		
	i	
942 covered, <u>as well as</u> cover and index pages identifying all specifications pages		
943 covered. Presentation documents (renderings ₇ and/or drawings used to	I	
944 communicate conceptual information only) are not required to be sealed,		
945 signed, or dated.946 b. Contract documents considered incomplete by the architect may be released		0
946b. Contract documents considered incomplete by the architect may be released947for interim review without the architect's seal or signature affixed, but shall		
0		bear the architect's name and be conspicuously marked to clearly indicate the
949 documents are for interim review and not intended for bidding, permit, or		
950 construction purposes.		
951 c. Those sheets or pages prepared by consultants (structural, mechanical,		
952 electrical, etc.) <u>, which are</u> retained by the architect <u></u> shall bear the seal and		
953 registration number of the consultant responsible therefor.		•

954	d. Once documents bearing the architect's seal are issued from the architect's
955	office, the seal shall not be removed, except as follows: <u>li</u> f the architect's
956	client requests electronic drawing files that would be used as reference
957	documents, the following statement shall be substituted for the seal:
958	
959	THE RECORD COPY OF THIS DRAWING IS ON FILE AT THE OFFICES OF
960	(NAMEOF FIRM), (ADDRESS OF FIRM). THIS ELECTRONIC DOCUMENT IS
961	RELEASED FOR THE PURPOSES OF REFERENCE, COORDINATION,
962	AND/OR FACILITY MANAGEMENT. THIS ELECTRONIC DOCUMENT OR
963	MODIFICATIONS THEREOF SHALL NOT BE USED FOR CONSTRUCTION.
964	e. Except as noted in Section $(ef)_{t}$, no architect shall affix the seal and signature
965	to contract documents developed by others.
966	f. No person, other than the architect represented, shall use or attempt to use
967	the prescribed seal or shall modify documents bearing such seal, without first
968	obtaining the written authority of the architect represented, and clearly
969	indicating on the documents the extent of the modifications made.
970	g. On original documents, the use of signature reproductions, such as rubber
971	stamps or computer_generated signatures or other facsimiles, shall be
972	permitted.
973	h. If, in the course of his or her work on a project, an architect becomes aware of
974	a course of action taken against the architect's advice, which may violate
975	applicable state or local building laws and regulations and which will, in the
976	architect's judgment, materially affect adversely the safety to the public of the
977	finished project, the architect shall:
978	a. Report the course of action in writing to the owner, to the local building
979	officials, and to other responsible parties; and
980	b. Refuse to consent to the course of action.
981	i. <u>h.</u> Authorized use of the prescribed seal is an individual act whereby the
982	architect must personally inscribe the seal. The architect is responsible for its
983	security when not in use.
984	C.<u>E.</u> Unauthorized Use of Architect's Seal
985	1. Registered architects whose seal appears on drawings or specifications
986	prepared by persons who are not registered or not under the architect's
987	direct supervision will be deemed to have aided or abetted in the practice of
988	architecture by a person not duly authorized to practice architecture.
I	

989	2. Registered architects whose seal appearses on drawings or specifications
990	bearing names of persons not so registered, unless they are identified as the
991	eEngineer or Consultant, will be deemed to have aided or abetted in the
992	practice of architecture by a person not duly authorized to practice
993	architecture.
994	3. Registered architects whose seal appears on drawings or specifications
995	which unlicensed persons have contracted to prepare or furnish will be
996	deemed to have aided or abetted in the practice of architecture by a person
997	not duly authorized to practice architecture.
998	D.F. Architect's Consultants
999	The architect is not required to seal and sign documents prepared and sealed by the
1000	his/her licensed consultants, except when indexes and cover sheets containing certain
1001	descriptions of work performed by the architect and as well as the architect's
1002	consultants.
1003	G. Use of Prototypical Documents
1005	Nothing precludes the use of prototypical documents, provided the architect:
1004	1. Has written permission to revise and adapt the prototypical documents from the
1005	person who either sealed the prototypical documents or is the legal owner of the
1000	prototypical documents. The term "legal owner" shall mean the person who provides
1007	the architect with a letter that he or she is the owner of the documents and has the
1008	
1009	written permission to allow the use thereof. ; and
1010	2. Has reviewed the prototypical documents and made necessary revisions to bring the
1011	design documents into compliance with applicable codes, regulations, and job
	specific requirements. ; and
1013	3. Has independently performed and maintains on file <u>all</u> necessary calculations, ; and
1014	4. After reviewing, analyzing σ and making revisions and/or additions, has issued the
1015	documents with the architect's title block and seal. By applying the seal, the architect
1016	assumes professional responsibility as the architect of record. ; and
1017	5. <u>Maintains</u> T the seal and name of the architect who originally developed the
1018	prototypical documents <u>may remain oo</u> n the construction documents, provided the
1019	original architect is licensed in the State of Arkansas and provided the original
1020	architect who prepared the prototypical documents agrees, in writing, to the use of
1021	the documents for the construction project by a second architect. The original
1022	architect assumes the professional responsibility for the content of the prototypical
1023	documents.
1024	6. Maintains design control over the use of site adapted documents just as if they were
1025	the original design.
1026	f. The term "prototypical documents" shall mean model documents of
1027	buildings that are intended to be built in several locations with substantially

1028	few changes and/or additions except those required to adapt the documents
1029	to each particular site.
1030	
1031	SECTION <u>VII</u> IX
1032	RULES OF PROFESSIONAL CONDUCT
1033	A. Competence
1034	1. In engaging in the practice of architecture, a registered architect shall act with
1035	reasonable care and competence , and shall apply the technical knowledge and skill ,
1036	which-that are ordinarily applied by registered architects of good standing,
1037	practicing in the same locality.
1038	2. In designing a project, a registered architect shall endeavor to take into account all
1039	applicable state and municipal building laws and regulations. While a registered
1040	architect may rely on the advice of other professionals (e.g., attorneys, engineers, and
1041	other qualified persons) as to the intent and meaning of such regulations, once
1042	having obtained such advice, a registered architect shall not knowingly design a
1043	project in violation of such laws and regulations.
1044	3. A registered architect shall undertake to perform professional services only when
1045	education, training, and experience in the specific technical areas involved qualify
1046	him or her, <u>as well as together with t</u> hose whom the registered architect may engage
1047	as consultants.
1048	<u>4.</u> No individual shall be permitted to engage in the practice of architecture if, in the
1049	Board's judgment, such individual's professional competence is substantially
1050	impaired by physical or mental disabilities.
1051	
1052	B. Conflicts of Interest
1053	1. A registered architect shall not accept compensation for services from more than one
1054	party on a project unless the circumstances are fully disclosed to and agreed to by $_{\overline{z}}$ all
1055	interested parties. The disclosure and agreement shall be in writing.
1056	2. The architect shall fully disclose in writing to the architect's employer or client any
1057	business association or direct or indirect financial interest which could influence the
1058	architect's judgment or decisions in connection with the architects' services. Should the
1059	employer or client object to such association or financial interest, the architect shall
1060	either terminate such association or interest or offer to give up the commission or
1061	employment.
1062	3. An architect shall not solicit or accept compensation in return for specifying or
1063	endorsing their products and materials.

1064 1065 1066	<u>4.</u> When acting as the interpreter of building contract documents, and the judge of contract performance, a registered architect shall render decisions impartially, favoring neither party to the contract.
1067	avoining netater party to the contract.
1068	C. Compliance with Laws
1069	1. A registered architect shall not knowingly violate any state or federal criminal law
1070	relating to the practice of architecture.
1071	2. An architect shall neither offer nor make any payment or gift to a government official
1072	(whether elected or appointed) with the intent of influencing the official's judgment in
1073	connection with a prospective or existing project in which the registered architect is
1074	interested.
1075	<u>3.</u> An architect shall comply with the registration laws and regulations governing his or
1076	her professional practice in any United States jurisdiction.
1077	
1078	D. Professional Conduct
1079	1. Except as provided under Section VII I D (e) , <u>Aa</u> n architect shall not sign or seal
1080	contract documents unless the documents were prepared by the architect or under the
1081	architect's supervisory control. ; provided, h<u>H</u>owever, that in the case of portions of
1082	such contract documents prepared under the direct supervision of another registered
1083	architect employed by the first architect (or his or her firm), the architect may sign and
1084 1085	seal those portions of the contract documents if the architect has reviewed such
1085	portions and has coordinated their preparation. 2. An architect shall neither offer nor make any gifts, other than gifts of nominal value,
1087	(including, for example, <u>such as</u> reasonable entertainment and hospitality), with the
1088	intent of influencing the judgment of an existing or prospective client in connection
1089	with a project in which the registered architect is interested.
1090	3. An architect shall not engage in conduct involving fraud or wanton disregard of the
1091	rights of others.
1092	4. Any office offering architectural services shall have an architect resident and regularly
1093	employed in that office.
1094	5. An architect may sign and seal technical submissions only if the technical submissions
1095	were
1096	a. Prepared by the architect; or
1097	 Prepared by persons under the architect's responsible control; or
1098	c. Prepared by another registered architect in the same jurisdiction if the
1099	signing and sealing architect has reviewed the other architect's work and
1100	either has coordinated the preparation of the work or has integrated the work
1101	into his/her own technical submissions.
1102	<u>6.4.</u> If _z in the course of his/her work on a project, an architect becomes aware of a decision
1103	taken by his/her employer or client, against the architect's advice, which that violates
1104	applicable state or local building laws and regulations and which will, in the

e safety <u>of</u> to the public of the
ctor or other public official
te or local building laws and
y believes that other such
er objection, said architect may
e project unless the architect is
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1143	submissions submitted to the building official at the time the building permit
1144	was issued;
1145	b. Processing shop drawings, samples, and other submittals submissions
1146	required of the contractor by the terms of construction contract documents;
1147	and
1148	<u>c.</u> Notifying an <u>the</u> Oo wner and the <u>Bb</u> uilding Oofficial of any code violations _j
1149	changes which <u>that</u> affect code compliance _t ; the use of any materials,
1150	assemblies, components, or equipment prohibited by a code, in major or
1151	substantial changes between such technical submissions and the work in
1152	progress _ر خ or any deviation from the technical submissions which <u>that</u> he <u>or</u>
1153	
1154	observes in the course of performing his <u>or</u> + her duties.
1155	
1156	B. Responsibility for Construction Administration
1157	The owner of any building has the ultimate responsibility for ensuring the health, safety,
1158	and welfare of the occupants of the building, as well as the public in general, according to
1159	the laws and regulations of the State of Arkansas. The Oowner of any real property, who
1160	allows a Pp roject to be constructed on such real property and the construction <u>of which</u>
1161	requires the services of an architect ₇ shall be <u>deemed to have</u> engaged in the practice of
1162	architecture unless such O owner shall have employed or shall have c aused others to have
1163	employed a registered architect to furnish <u>c</u> onstruction <u>c</u> ontract <u>a</u> Administration
1164	services with respect to such ^P project.

	<u>SECTION X</u>
	BUILDINGS CONSTRUCTED WITHOUT AN ARCHITECT
<u>A</u>	. Violation of Public Health, Safety, and Welfare
	The construction of a building requiring an architect under the Arkansas Architectural Act is considered to be a violation of the health, safety, and welfare of the public when the required services of an architect are not provided.
B.	. Occupancy and Use of Buildings
	The occupancy and use of a building constructed without the services of an appropriate design professional or professionals seriously compromise the health, safety, and welfare of the public. Any building so constructed is declared by the Arkansas Architectural Act to constitute a nuisance and threat to public, health, safety, and welfare and may be enjoined by the examining body in the courts of this state. Even though the violation may be punishable by a fine, the intention of this section of the rules is to provide speedy means of protecting the public.
C	. Verification of Design Professional Responsibility
	When it comes to the attention of the Board that a building may have been constructed without the use of the required design professional or professionals, the Board may require proof from the owner of the property that the appropriate registered professional or professionals were in responsible control of the design and construction administration of the building.

I	Mark-Up Copy. Stricken language would be deleted from and underlined language would be added to the rules as they existed prior to this proposed revision.
1198	
1199	CHAPTER TWO
1200	
1201	RULES OF PROCEDURE
1202	
1203	SECTION I
1204	RULES UNDER THE ARKANSAS ARCHITECTURAL ACT AND
1205	NOTICE - HEARINGS
1206	A. Rules under the Practice Act
1207	This exposition of the Rules of Procedure, formulated under the Administrative Procedure
1208	Act, as amended ACA 25-15-201 et. seq., does not eaffect a repeal of the provisions of the
1209	Act ₇ or of its amendments and related laws, except insofar as these Rules of Procedure
1210	were formulated under provisions of law which that specifically contravenes provisions of
1211	the Act, its amendments ₂ and related laws.
1212	B. Notice – Hearing
1213	Any such rule shall be made or amended only after a hearing upon notice _z as set forth in
1214	these Rules of Procedure.
1215	SECTION II
1216	PRIOR RULES
1217	
1218	Prior Rules – Valid
1219	Rules of the Board formulated previously are declared to be still in force until amended
1220	and certified to the Arkansas Register.
1221	

1222	SECTION III
1223	RULE-MAKING
1223	
1225	In any case of rule_making, every person has a right to seek to cause action from the Board
1226	to act to in makinge a rule. Every person also has the right to seek to cause <u>correction in</u> an
1227	incorrect rule to be corrected.
I	
1228	SECTION IV
1220	
1229	VIOLATION OF RULES
1230	
1231 1232	Willful violation of any rule of <u>established by</u> the Board, in addition to any other penalty
1232	provided by law, shall subject the violator to such denial, suspension, and/or revocation of approval of a license to practice architecture as may be applicable.
1255	or approval of a neerise to practice are interture as may be applicable.
1234	SECTION V
1235	ORDER
1236	A. Order – Effective O enly in Writing
1237	Order of the Board shall be effective only when in writing.
1000	
1238	B. Effective Date
1239 1240	Each order shall contain in <u>an</u> effective date and shall concisely state <u>the following</u> : 1. Its intent and purpose ;
1240 1241	 Its intent and purpose; The grounds on which it is based;
1241	 The pertinent provision of law-
1243	C. Order May <u>B</u> be Effectuated
1244	An order may be given by service upon or delivery to the person ordered, by mail, with
1245 1246	postage prepaid <u>and</u> , addressed to the person at his principal place of business or his
1246 1247	home as <u>at</u> last <u>address</u> of record with the Board. An order may also be served by any officer authorized to serve legal process or by any member of the Board or any employee
1248	
1248 1249	of the Board. An attempt to serve notice at the last address of record in the Board office shall constitute official notice.

1250 D. Order Formulated Upon Adjudication 1251 There shall be an An order shall be formulated upon each adjudication made by the 1252 Board or its hearing officer. 1253 **SECTION VI DECLARATORY ORDERS – RULES** 1254 A. Declaratory Order - Petition For 1255 1256 Any person who alleges that a rule, or its possible application, may injure or threaten to 1257 injure him, his business, or his property may file a petition for a declaratory order as to 1258 the applicability of any rule to be enforced by the Board. **B.** Prompt Disposition 1259 1260 Such petition shall be promptly considered and a prompt disposition shall be made. C. Status 1261 1262 Declaratory orders shall have the same status as agency orders formulated upon 1263 adjudication. SECTION VII 1264 **ADJUDICATION** 1265 A. Reasonable Notice 1266 1267 All parties shall be afforded opportunity for hearing after reasonable notice. (See Section 1268 XI, subsection B.2. (b)(3.). infra.) **B.** Evidence May **Bb**e Presented 1269 1270 Opportunity shall be afforded all people interested in the action to respond and to 1271 present evidence and argument on all issues involved. C. Stipulation/Settlement/Consent or Default Not Prohibited 1272 1273 Nothing in these rules shall prohibit informal disposition by stipulation, settlement, 1274 consent order, or default. **D.** Record 1275 1276 The **R**record shall include the following:

1277 1278 1279 1280 1281 1282 1283 1284 1285	 All pleadings, motions, and intermediate rulings; All evidence received or considered, including-,-on the request of any party,- a transcript of all proceedings or any part thereof; A statement of matters officially noticed; Offers of proof, objections, and rulings; Proposed findings and exceptions; All staff memoranda or data submitted to the hearing officer in connection with any staff consideration of the matter. E. Findings of Fact
1286 1287	Findings of fact shall be based exclusively on the evidence received and on matters officially noticed.
1207	officially holiced.
1288	SECTION VIII
1289	ADJUDICATION - DECISIONS
1290 1291 1292 1293 1294 1295 1296 1297 1298	 A. Final Decision 1. In every case of adjudication, there shall be a final decision, or order, which shall be in writing (or stated in the record). 2. The final decision shall include findings of fact and conclusions of law, each separately stated. 3. The findings of fact, if set forth in statutory language, shall be accompanied by a concise and explicit statement of the underlying evidence supporting the findings. 4. If any party submitted proposed findings of fact, the decision shall include a ruling upon each proposed finding.
1299 1300	B. Service of Copy – Decision Parties shall be served either personally or by mail with a copy of any decision or orders.
1301 1302 1303 1304 1305 1306 1307	C. Exemption Where a formal hearing before a hearing officer has been held, at which the parties were given proper notice and at which <u>the</u> opportunity was offered to them to be present in person and by counsel to present testimony, briefs, and argument, a proposal for decision will not be required.
1308	

SECTION IX 1309 **HEARING OFFICER** 1310 1311 1312 Where convenient and appropriate, a hearing officer may be appointed to take testimony 1313 and prepare the record for the consideration of the Board. The hearing officer may 1314 conduct hearings at any place within the State of Arkansas. In the conduct of such 1315 hearings, the hearing officer shall preside and have the power and duties of a presiding 1316 official as set forth in SECTION X, D. The decision on the record made by the hearing 1317 officer shall be made by a majority of the members of the Board. 1318 A. Hearings 1319 In every case of adjudication, and in cases of rule--making, where rules are to be made 1320 after hearing, there shall be a hearing. B. Right of CouncilCounsel 1321 1322 Any person compelled to appear before the Board or a hearing officer shall have the 1323 right to counsel. C. Impartiality 1324 1325 Every-All members of the Board present shall conduct her/himselfthemselves in an 1326 impartial manner, and the presiding official may withdraw if she/hehe/-or-she deems 1327 her/himselfhimself-or/herself disgualified. Any party may file an affidavit of personal bias or disqualification, which shall be ruled upon by the Board and granted if it is 1328 1329 timely, sufficient, and filed in good faith. 1330 D. Power and Duties of Presiding Official 1331 The presiding officer of the hearing shall have power to <u>carry out the following</u>: 1332 1. Administer oaths and affirmations; 1333 2. Maintain order; 1334 3. Rule on all questions arising during the course of the hearing; 1335 4. Hold conferences for the settlement or simplification of the issues; 1336 5. Make or recommend decisions; 1337 6. Generally, to regulate and guide the course of the proceedings-E. Burden of Proof 1338 1339 The proponent of a rule or order shall have burden of proof. 1340 F. Evidence Excluded 1341 Irrelevant, immaterial, and unduly repetitious evidence shall be excluded.

1342 1343 1344 1345	G. Evidence Admitted Any other evidence, oral or documentary, not privileged, may be received if it is of a type commonly relied upon by reasonable, prudent individuals in the conduct of their affairs.
1346 1347	H. Objections Objections to evidence may be made and shall be noted of record.
1348 1349 1350	I. Evidence May <u>B</u> be Written When a hearing can be so expedited (and the interests of the parties will not be prejudiced) ₂ any part of the evidence may be received in written form.
1351 1352 1353	J. Cross Examination Parties shall have the right to conduct such cross-examination as may be required for a full, true disclosure of the facts.
1354 1355 1356 1357 1358 1359 1360	 K. Official Notice Official notice may be taken of judicially recognizable facts and of generally recognized technical or scientific facts, peculiarly within the Board's specialized knowledge. Parties shall be notified of material so noticed (including any staff memoranda or data). Parties shall be afforded a reasonable opportunity to show the contrary.
1361	SECTION X
1362	PROCEDURE ON DENIAL, SUSPENSION, OR REVOCATION
1363	A. Grounds for Discipline
1364	The Board shall have sole authority over architects to deny or suspend any license to
1365	practice issued by the Board or applied for in accordance with the provisions of the Act,
1366	or to otherwise discipline a licensee upon the following determination:
1367	1. That the holder of the registration or certificate of license is practicing in violation of
1368	this chapter or of the proper rules and regulations of the examining body governing
1369	this chapter;
1370	2. That the license or certificate has been obtained by fraud or misrepresentation, or the
1371	person named therein has obtained it by fraud or misrepresentation;
1372	3. That any money, except the regular fees provided for, have has been paid for the
1373	license or certificate;

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1374	. That the holder of the license or certificate is falsely impersonating a practitioner or	
1375	former practitioner of a like or different name or is practicing under an assumed or	
1376	fictitious name;	
1377	. That the holder of the license or certificate has been guilty of a felony;	
1378	. That the holder of the license or certificate has aided or abetted, in the practice of	
1379	architecture, any person not duly authorized to practice architecture under the	
1380	provisions of this chapter;	
1381	. That the holder of the license or certificate has been guilty of fraud or deceit or of	
1382	gross negligence or misconduct in the practice of architecture;	
1383	. That the holder of the certificate has been guilty of gross incompetence or	
1384	recklessness in the designing or construction of buildings;	
1385	. That the holder of the license or certificate affixed, or permitted to be affixed, his/he	r
1386	seal or name to any plans, specifications, drawings or related documents which that	
1387	were not prepared by him/her or under his/her responsible supervisory control;	
1388	0. That the holder of the license or certificate has been adjudged mentally incapable by	
1389	a court of competent jurisdiction.	
	1)	
1390 B	Proceedings	
1391	roceedings shall be as follows:	
1392	. Opportunity for licensee or applicant to have <u>a</u> hearing. Every licensee or applicant	
1393	for a licensee shall be afforded notice and an opportunity to be heard before the	
1394	Board. The Board shall have authority to take any $action_{t}$ the effect of which would	
1395	be to:	
1396	a. Deny permission to take an examination for licensing for which application	
1397	has been duly made;	
1398	b. Deny a license after examination for any cause other than failure to pass an	
1399	examination;	
1400	c. Withhold the renewal of a license for any cause;	
1401	d. Suspend a license; and/or	
1402	e. Revoke a license.	
1403	. Notice of action or contemplated action by the Board - Requests for Hearing - Notice	
1404	of Hearing	
1405	a. When the Board contemplates taking any action of a type specified in	
1406	paragraphs a _r and b _r of subsection B.1 _r supra, it shall give written notice to	
1407	the applicant, including a statement:	
1407	(1) That the applicant has failed to satisfy the Board <u>with of</u> his or her	
1409	qualifications to be examined or to be licensed, as the case may be;	
1409 1410	(2) Indicating in what respects the applicant has failed to satisfy the Board;	
	(2) indicating in what respects the applicant has falled to satisfy the board; and	
1411 1412		5
1412 1412	(3) That the applicant may secure a hearing before the Board by depositing in the mail within twenty (20) days after service of said paties, a registered	.1
1413	the mail within twenty (20) days after service of said notice, a registered	

1414	letter addressed to the Board containing a request for a hearing. In any
1415	proceedings of the Board involving the denial of a duly made application
1416	to take an examination , or refusal to issue <u>a to</u> -license after an applicant
1417	has taken and passed an examination, the burden of satisfying the Board
1418	of the applicant's qualifications shall be upon the applicant.
1419	b. When the Board contemplates taking any action of a type specified in
1420	subsections paragraphs c, d_{i} and e of subsection B_{i} 1, supra, it shall give a
1421	written notice to the licensee through the Board's attorney, which <u>that</u>
1422	contains a statement:
1423	(1) That the Board has sufficient evidence which, if not rebutted or
1424	explained, will justify the Board in taking the contemplated action;
1425	(2)(1) Indicating the general nature of the evidence, and detailed
1426	allegations of <u>the violations</u> of ACA 17-15-308-<u>with which</u> the licensee is
1427	charged;-with;-
1428	(<u>3)(</u> 2) That a hearing will be held on a date certain date, no sooner than
1429	twenty (20) days after the mailing of the notice, and <u>, that</u> at that hearing,
1430	the Board will receive evidence.
1431	c. When the Board shall summarily suspend a license pending a hearing as
1432	authorized in subsection B.2 supra, it shall give written notice of a- <u>the</u> general
1433	nature of the evidence and detailed allegations of <u>the violations</u> of ACA 17-
1434	15-308-<u>with which</u> the licensee is charged with:
1435	(1) That the Board has sufficient evidence which <u>that</u> , if not rebutted or
1436	explained, will justify revocation of the license by the Board; and
1437	(2) Indicating the general nature of the evidence against the license <u>e</u> ;
1438	(3) That, based on the evidence indicated, the Board has determined that the
1439	continuation of practice of the occupation or profession of the licensee
1440	will cause an immediate hazard to the public and has, therefore,
1441	suspended the license of the licensee, effective as of the date that such
1442	notice is served;
1443	(4) The Board will then set an immediate hearing for a full evidentiary
1444	presentation by the licensee and the Board
1445	d. In any hearing before the Board involving the suspension or revocation of a
1446	license, the burden shall be on the Board to present competent evidence to
1447	justify the action taken or proposed by the Board.
1448	C. Method of Serving Notice of Hearing
1449	Any notice required by subsection B.2 ., above, may be served either personally or by an
1450	officer authorized by law to serve process, or by registered mail or certified mail, with
1451	return receipt requested, directed to the license <u>es</u> or applicant at his or her last known
1452	address <u>,</u> as shown by the records of the Board. If notice is served personally, it shall be
1453	deemed to have been served at the time when the officer delivers the notice to the

- 1454person addressed. Where notice is served by registered mail, it shall be deemed to have1455been served on the date borne by the return receipt, showing delivery of the notice to the1456addressee or refusal of the addressee to accept the notice. An attempt to serve notice at1457the last address of record shall constitute official notice.
- 1458 D. Venue of Hearing
- 1459Board hearings held under the provisions of this rule shall be conducted at the Board1460office or elsewhere in Pulaski County. The hearings may be held anywhere within1461Arkansas if the person whose license is involved and the Board agree that the hearing1462should be held at some place outside Pulaski County.
- 1463 E. Hearings Public

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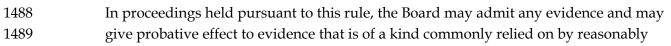
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- 1464Use of Hearing Office All hearings under this section shall be open to the public. At all1465such hearings, at least a quorum of the Board shall be present to hear and determine the1466matter.
- 1467 F. Rights of Person Entitled to Hearing
- 1468 A person entitled to be heard pursuant to this section shall have the right to:
 - Be represented to by counsel;
 - 2. Present all relevant evidence by means of witnesses and books, and papers and documents;
 - 3. Examine all opposing witnesses on any matter relevant to the issues;
 - Have subpoenas and subpoenas duces tecum issued to compel the attendance of witnesses and the production of relevant books, papers, and documents upon making written request thereforthereof to the Board; and
 - 5. Have a transcript of the hearing made at his-or/-her own expense.-as provided in Section VIII. D., hereof.
- 1478 G. Powers of the Board in Connection with Hearing
- 1479In connection with any hearing held pursuant to the provisions of this section, the Board1480or its hearing officer shall have the power to:
 - 1. Have counsel to develop the case;
- 1482 2. Administer oaths to develop the case;
- 1483 3. Take testimony;
- 1484 4. Examine <u>w</u>Witnesses;
- 1485 5. Have a transcript of the hearing made at the expense of the Board; and
- 1486 6. Direct a continuance of any case.
- 1487 H. Rules of Evidence



- 1490prudent men in the conduct of serious affairs. The Board may, in at their discretion,1491exclude incompetent, irrelevant, immaterial, and unduly repetitious evidence.
- 1492 I. Fees Witnesses
- 1493 Witness fees and mileage, if claimed, shall be allowed the same as for testimony in a1494 Circuit Court.
- 1495 J. Manner and Time of Rendering Decision
- 1496After a hearing has been completed, the members of the Board shall proceed to consider1497the case and, as soon as practicable, shall render their decision. If the hearing was1498conducted by a hearing officer, the decision shall be rendered by the Board at a meeting1499where a quorum of the members of the Board-are is- present and participating in the1500decision. In any case, the decision must be rendered within ninety (90) days after the1501hearings.
- 1502 K. Service of Written Decision
- 1503Within a reasonable time after the decision is rendered, the Board shall serve to upon the1504person whose license is involved a written copy of the decision, either personally or by1505registered mail. If the decision is sent by registered mail, it shall be deemed to have been1506served on the date borne on the return receipt.
- 1507 L. Contents of Decision
 - 1. Findings of fFact made by the Board;-
 - 2. Conclusions of **L**aw reached by the Board;
- 1510 3. The order of the Board based upon these fFindings of fFact and cConclusions of
 1511 Llaw; and
- 15124. A statement informing the person whose license is involved of his right to request a1513judicial review and the time within which such <u>a</u> request must be made.
- 1514 M. Judicial Review
- 1515Judicial review of proceedings under this rule shall be governed by the Administrative1516Procedure Act or other applicable law.
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SECTION XI

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JUDICIAL REVIEW

A. Service
Service shall be had by serving a copy of the petition upon the Board and all other
parties of record either by personal service or by mail.

1522 1523 1524 1525	В.	Stay of Order Filing of the petition shall not automatically stay <u>the</u> enforcement of the Board decision. The Board, upon its own action, or the reviewing court may stay the order upon such terms as may be just.
1526		SECTION XII
1527		ENFORCEMENT
1528 1529 1530 1531	А.	Civil Action The Board may institute <u>a</u> (civil) suit or other legal proceedings <u>-that as</u> -may be required for enforcement of any provisions of ACA 17-15-101 ₇ <u>et</u> seq., as amended and related acts.
1532 1533 1534 1535 1536	B.	Criminal Action If the Board has reason to believe that any person has violated any provisions of the Act, as amended, or related acts for which criminal prosecution would be in order, it shall so inform the prosecuting attorney in whose district any such purported violation may have occurred.
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